User's Manual

Parties





THE CHAMBER OF CONCILIATION, MEDIATION AND ARBITRATION CIESP/FIESP

User's Manual

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1. First manifestation in an electronic arbitral proceeding

a) Request for arbitration

The request for arbitration in an electronic arbitral proceeding ("proceeding") is made upon the filling of the form available on the Chamber of Conciliation, Mediation and Arbitration Ciesp/Fiesp website, that may be accessed on the following electronic address: <u>www.camaradearbitragemsp.com.br</u>, or through the *link* below:

→ https://form.asana.com/?k=cHFBwnAMXZRzXvVnjkFM4Q&d=1146263326182336

Upon *(i)* the filling of the form with Claimaint's relevant information and *(ii)* the sending of the Request for Arbitration and its annexes in the form, the Secretariat will register the new proceeding in the Chamber's Electronic Portal ("Portal") and contact the Parties regarding the progress of the proceeding.

→ In case of doubts or eventual system unavailability, send an e-mail with the subject "REQUEST REGISTRATION", containing Claimant's relevant information, contact information (e-mail and telephone number) of the Claimant's legal representatives/counsels, as well as the request for arbitration and its enclosures as attachments to the message or via sharing *link* to: cmasp@ciesp.com.br, with copy to secgeral.cmasp@ciesp.com.br, and wait for the Secretariat's contact.

<u>Attention</u>: The filing of the other manifestations and documents shall be made directly in the Portal (Chapter 3).

b) Entry in the arbitral proceeding as Respondent

For its first manifestation, through the Portal, in the proceeding, Respondent must fill, in advance, the form available on the Chamber's *website*, <u>www.camaradearbitragemsp.com.br</u>, or via the *link* below:

→ https://form.asana.com/?k=xH6UAafVnBhasl0-1YjmSA&d=1146263326182336



→ In case of doubts or eventual system unavailability, send an e-mail with the subject "RESPONDENT'S REGISTRATION", containing Respondent's relevant information, contact information (e-mail and telephone number) of the Respondent's legal representatives/counsels, as well as the respective legal representatives/counsels' power of attorney instrument and personal/corporate documentation as an attachment or via sharing *link* to: cmasp@ciesp.com.br, with copy to secgeral.cmasp@ciesp.com.br, and wait for the Secretariat's contact.

<u>Attention</u>: The filing of the manifestations shall be made directly through the Portal (Chapter 3), for this reason we do not recommend a last call filing.

c) Joinder request in an ongoing proceeding as a third party or *Amicus Curiae*

The joinder request as a third party or *Amicus Curiae* in the proceedings is made upon the filling of the form available on the chamber's *website*, <u>www.camaradearbitragemsp.com.br</u>, or via the *link* below:

https://form.asana.com/?k=ojIsjG9SXCcRLyVSMHxCeA&d=1146263326182336

→ In case of doubts or eventual system unavailability, send an e-mail with the subject "THIRD PARTY REGISTRATION" or "AMICUS CURIAE REGISTRATION", containing the proceeding's relevant information, contact information (e-mail and telephone number) of the legal representatives/counsels for registration on the Portal, as well as the respective legal representatives/counsels' power of attorney instrument and personal/corporate documentation as an attachment or via sharing *link* to: <u>cmasp@ciesp.com.br</u>, with copy to <u>secgeral.cmasp@ciesp.com.br</u>, wait for the Secretariat's contact.

<u>Attention</u>: The filing of the manifestations shall be made directly through the Portal (Chapter 3), for this reason we do not recommend a last call filing.





2. User Registration

The users will be invited by the Chamber's Secretariat, to register in the Portal, for the monitoring of a proceeding, through an invitational e-mail with a registration *link*, as the model below:

UI.	an Bertolani (noreply-ciesp@highq.com)
c lili	an_ue@yahoo.com.br
i: qu	arta-feira, 17 de agosto de 2022 16:22 BRT
	Câmara DE CONCILIAÇÃO, MEDIAÇÃO E ARBITRAGEM CORSEL FUESO Agatha, You have been invited to the CMA 755 site by Lilian Bertolani from Centro das indústrias do Estado de São Paulo. Piease click here to activate your account THE ABOVE LINKS CONTAIN SENSITIVE DETAILS THAT ARE SPECIFIC TO YOUR USER ACCOUNT. PLEASE DO NOT FORWARD THIS EMAIL TO ANYONE ELSE. For technical and user support please email the Administrator.
	Kind regards, Centro das indústrias do Estado de São Paulo
	Kind regards,

To initiate the registration, click on the link "Please click here to activate your account."

Following the account's activation, a new window for the password's registration shall open. Upon the insertion of the chosen password and agreement to the Terms of Use, click in "Choose password", as the model below:



After the definition of the password, the user will be moved to another window for the profile edition. Insert the contact information and click in "**Save**", as the model below:



< Ocultar	Editar perfil		
Informações de contato Sobre mim	Informações de contato		
Social Mensagens Links	Foto do perfil	Aterar	
	Primeiro nome (Obrigatório)	João	
	Sobrenome (Obrigatório)	Pereira	
	Cargo	Advogado	
	Departamento	Pereira e Pereira Advogados Associados 🔹	Adicionar novo
	Empresa	externo	
	Endereço comercial	Rua Camanducaia, 2020, São Paulo, SP, Brazil, 💌	Adicionar novo
	Endereço de e-mail	pereirajpjoao	øl.com.br
	Telefone comercial	+ 55 11 35494000	
	Celular	+ 55 11 963521325	
	Secretária	Cristina Abreu	
		cristina.abreu@pereira.adv.br	

At last, the user will come forward the Portal's Terms of Use. Read and confirm the adhesion to the Terms, as the model below:

脅 > CMA 755
Terms and Conditions
Version 01 - English - Date: 12/07/2021
Versão 01 - Português - Data: 07/12/2021
TERMS OF USE OF ONLINE CASE MANAGEMENT PORTAL OF THE CHAMBER CIESP/FIESP
Definitions: 1.1 Arbitration: private dispute resolution method, alternative to the Judiciary, regulated by Brazilian Law n. 9.307/1996, through which the parties choose 1 or 3 arbitrators, to judge their disputes, whose decision is unappealable and constitutes a sentence that produces the same effects as a judicial sentence. 1.2 Access credentials: login information and password received by the user to access The Chamber Ciesp/Fiesp online case management portal. 1.3 Dispute Prevention and Resolution Committee "Dispute Boards": consensual method of managing, preventing, and resolving disputes within the scope of a Contract, used especially by the Civil Construction and Infrastructure sector.
1.4 Data: all information (such as files in any format. including, but not limited to PDF. Word documents, images, to, and videos) entered in the Portal by users and by The Chamber Cieso/Fiesp in a given case.
Decline Accept

Attention: Maintain the contact information updated for the receipt of the notifications of the proceeding (Chapter 4).





3. Filing of the Parties manifestations

The Parties shall proceed with the filing of its manifestations and documents in their private folders, that may be accessed in the indicated tab below:



→ Each Party shall only have access to its own private folders.

In the example below, Claimant visualizes the following folders:

CMA 755						🖍 🕁 💬
	Name 🜲	Pages 🜲	Size 🜲	Author 🜲	Last modified 🜲	
	1 Access the proceeding			Lilian Bertolani	21 Jun 2022 16:18	☆ …
	2 Arbitration rules			Lilian Bertolani	20 Jun 2022 10:48	
	4 Claimants			Lilian Bertolani	12 May 2022 17:12	
	8 Financial information			Lilian Bertolani	20 Jun 2022 17:34	

→ The folders "1. Access the Proceeding" e "2. Arbitration Rules" are public and accessible to all Parties in the proceeding.

When clicking on folder "Claimants", it shall appear in sequence:



4 Claiman	ts 🗸					¥* \$\$ ()
	Name 🜲	Pages 🌲	Size \$	Author \$	Last modified 🜲	
	4.1 Common term			Lilian Bertolani	20 Jun 2022 17:02	☆ ⊙
	4.2 Exclusive term			Lilian Bertolani	20 Jun 2022 17:14	\$
	4.3 Financial Files			Lilian Bertolani	20 Jun 2022 17:11	
	4.4 Ex Parte Communications			Lilian Bertolani	20 Jun 2022 17:32	\$

Step 1: Choose the type of the filing

IMPORTANT: Choose the filing folder according to the speculated term to be fulfilled, that may be: **Parties' common term manifestation; Parties' exclusive term manifestation; Ex-Parte manifestation; Financial Files**, as follows.

a) Parties' common term manifestation

Applies to the cases in which the Parties have a simultaneous term for the filing of their respective manifestations.

In this case, the filed petition shall rest **withheld** in the respective Party private folder, without the possibility of editing the document, until all the involved Parties proceed with the filing in their private folders or the passing of the term. However, the Parties should note that the Arbitral Tribunal will have access as soon as the filing is made.

The Secretariat shall publicize the petition and documents, presented in the respective private folders, on the folder "**1. Access the proceeding**", in the appropriate moment so all the involved Parties have a simultaneous acknowledgement.

b) Parties' exclusive term manifestation



Applies to the cases in which only one of the Parties must manifest in that specific moment of the proceeding.

The filed petition and documents shall be, <u>automatically</u>, published in the public folder "**1**. Access the proceeding", turning up <u>immediately</u> accessible to all involved in the proceeding.

c) Ex-Parte Manifestation

Applies to the cases in which the Party wishes to present an *Ex-Parte* manifestation to communicate with the arbitral tribunal and the Secretariat without the acknowledgment of the respective counterpart.

The manifestation shall rest withheld in the Party's private folder, to which only the respective Party, the Arbitral Tribunal and the Secretariat shall have access.

Upon authorization by the Arbitral Tribunal or the Chamber's Presidency, it shall rest on the Secretariat to publish the presented petitions and documents on folder "1. Access the Proceeding".

d) Financial Files

It is utilized for the document Exchange between the Secretariat's financial department and the Party.

Step 2: Sending of the records for filing

To proceed with the filing of a record, click on the chosen private folder, as described on Step 1 (above), and upload the file(s) clicking on "**Upload**" and then on "**Files**", as indicated below:

Uploa	d - Action -	View -			Search and filter this folder	•		T
Files	-	etained in the folder and will be publis	hed by the Seci	etariat in the folder "Access	the procedure" on the more	×,2	☆	•••
	Name 🌲	Pages \$	Size 🜲	Author \$	Last modified \$			



Then proceed clicking on "**Browse**" and choose the file(s), as indicatred below:

Add files		×
Files Metadata Browse file(s) here		
	Cancel	Add

Upon the conclusion of the file upload, click on "Add", as indicated below:

Add files	×
Files Metadata	
Browse or drag file(s) here	
● Ingo.png 0.07MB Rename	Done
	Cancel Add

Step 3: Filing confirmation

The user will receive the filing confirmation, in the registered e-mail, as the model below:



Attention: the sender of the messages is automation.engine@highq.com. In case you are not receiving the system's messages, verify your *spam* mailbox and, in case of doubts, contact the Secretariat on the number +55 (11) 3549 3240.

To visualize the filed document, click on the indicated *link* in the message or access the folder to which the file(s) have been submitted or access the folder "**1. Access the proceeding**".

Attention: The folders for the filing of Common term manifestations, Exclusive term manifestations and *Ex-Parte* manifestations are utilized by the Portal's file storage system whilst the files have not been made public in the proceeding. Once the manifestation can be acknowledged by all the involved parties, it shall be moved, by the sytem or by the Secretariat, to the folder "**1**. Access the proceeding".





4. Notice of the procedural acts

The represented Parties (registered on the Portal) shall be communicated of all procedural acts, such as communications of the Secretariat, the counterpart's manifestations and documents, procedural orders and awards, *exclusively* through the Portal, with the publication in the folder "1. Access the proceeding".

Whenever a document is published via the Portal or by the Secretariat in the folder "**1**. Access the **proceeding**", all the involved Parties will be notified electronically, as the model below:

Procee	ding Update - logo							
De: au	tomation.engine@highq.com							
Para: lili	an_ue@yahoo.com.br							
Data: qu	ata: quarta-feira, 17 de agosto de 2022 17:08 BRT							
	CÂMARA DE CONCILIAÇÃO, MEDIAÇÃO E ARBITRAGEM							
	Dear Sir or Madam, The Secretariat informs that, on this date, the document (logo) has been made available in the "Access the Proceeding" section.							
	To access, click here.							
	Sincerely, Secretariat of the Chamber Ciesp/Fiesp							
	THE ABOVE LINKS CONTAIN SENSITIVE DETAILS THAT ARE SPECIFIC TO YOUR USER ACCOUNT. PLEASE DO NOT FORWARD THIS EMAIL TO ANYONE ELSE.							
	For technical and user support please email the Administrator.							
	Centro das indústrias do Estado de São Paulo							
	THOMSON REUTERS* © 2022 Thomson Reuters Terms of use Manage email preferences							

Attention: an e-mail will be automatically sent by <u>automation.engine@highq.com</u> to <u>each file</u> submitted to the folder "1. Access the proceeding". In case you are not receiving the system's messages, verify your *spam* mailbox and, in case of doubts, contact the Secretariat on the number (11) 3549 3240.





5. Consultation and General Information

a) Consultation to the proceeding

To consult a proceeding, it is necessary that the user is registered in the Portal (Chapter 2).

Once logged in the Portal, on the left of the initial page, the User will find the tab "*Sites*", which will show all the proceedings that use is involved (as Party, Counsel, Arbitrator) and the recent activities related to each proceeding. Every *site* corresponds to one proceeding.

To access the proceeding, click on the respective *site*, as indicated below:





The proceeding's Portal will automatically open in the tab "General Information". Click on the tab "Access the Proceeding" and, following, on "Documents list", as indicated below:



Shall be visualized the proceeding's documents included in the folder "**1**. Access the Proceeding" that will present the documents that have been made public to all involved Parties, as the example below:

Search folders	1 Access Consult the	the proceeding - documents					⊮‴☆⊙
CMA 755 (em construção EN) 1 Access the proceeding (1) 2 Arbitration rules (1)		Name \$	Pages ≑	Size \$	Author \$	Last modified 🌲	
 4 Claimants 8 Financial information 		1.1 Exhibits_Claimants			Pedro Machado	17 May 2022 11:26	☆ ⊙
② Recent		1.2 Exhibits_Respondent			Pedro Machado	17 May 2022 11:27	☆ ⊙
★ Favorites S Attachments		1.3 Copies until 03/05/2022			Pedro Machado	17 May 2022 11:30	☆ …
		1.4 logo.png 🕑	1	69.44KB	Agatha Christie	17 Aug 2022 16:56	☆ …



The files are displayed in filing cronological order and are numbered automatically by the system. However, the view mode can be modified, by the user, utilizing filters, e.g. author of the filing (below):

Name 🜲	Pages 🜲	Size 🜲	Author 🜲	Last modified 🜲

b) Consultation to the Chamber's arbitration rules

To quick access the applicable procedural rules (arbitration rules, table of costs and resolutions), click on the tab "**Folders structure**" and, following, click on the public folder "**2. Arbitration rules**", as indicated below:

 CMA 755 (em construção EN) - 2 	> General information	n Access the proceeding 👻	Blog Fo	olders structure	Calendar		
Hide	Action - View	/-				Search and filter this folder	
Search folders	CMA 755 (em co	nstrução EN)					₽ ↔
CMA 755 (em construção EN) 1 Access the proceedip (1)	□ Name	\$	Pages (♣ Size ♣	Author \$	Last modified 🌲	
2 Arbitration rules (1) 4 Claimants		ess the proceeding			Lilian Bertolani	21 Jun 2022 16:18	☆
8 Financial information	2 Arbi	tration rules			Lilian Bertolani	20 Jun 2022 10:48	☆
Recent							

c) General information

The tab "General information" contains the participant's general contact information (name and email address). It is essential that any contact information uptade is immediately communicated, through the Parties's Counsels, to the Secretariat.



Underneath the participant's information, there will be a shortcut to the "latest documents filed" that have been published in the folder "1. Access the proceeding" and for the archive of the "Proceeding financial information", as indicated below:



Proceeding Financial Information	Latest documents filed	Ciesp	/Fiesp - Secretariat
V3.xlsx 110.35KB, Lilian Bertolani, 17 Aug 2022 17:36	logo.png 69.44KB, Agatha New	7	Aaron Hwang
			Jéssica Cacique de Araújo
		Q	Lilian Bertolani
			Pedro Machado
			Yasmin Nunes





6. Contact data and info to the Secretariat

For the better development of the proceeding, it is essential to keep all the contact information updated, especially telephone numbers and e-mails.

In case a Party needs to update its contact information, the Party must send a messsage to the responsible *Case Manager* via direct message, located on the superior right corner, on the envelope icon and the tab "**New message**", just as provided below:



Insert the *Case Manager's* name in the field "**Recipients**". In the message, identify all the proceedings in which the information shall be inserted or altered. Finalized the message, click on "**Send**".

New message	×
Recipients	
Aaron Hwang 🗙	
Message	
My new email is agatha@msn.com. Please take note.	
Thank you.	
Use @ to mention people and # to add tags	
So Cancel So	end





7. Blog – Informal communication

The "blog" tool allows a more informal approach in the communication, between all involved in the proceeding, for a more flexible use such as the scheduling of meetings and general orientation. The use of the tool allows the centralization of information, without the necessity of e-mails or other apps for the discussion of simpler matters.

To utilize the tool and **create a new conversation**, click on the tab "Blog" and "Add post":

🙀 🗦 CMA	• >	General information	Access the proceeding $ \star $	Blog	Folders structure	Calendar
0	< Hide	Recent posts				Add post
Categories		There are currently no o	osts Click "Add post" to create	a new po	st	
All		there are carriedly help	and show the peak is situate	a non po		
Communications - Arbitral Tri	ibunal 0					
Communications - Secretaria	t O					
General	0					
Hearing Instruction	0					
🚖 Favorites						

Fill the solicited information:

Untitlec	page	Cancel Save
Content At	achments Settings	
Formatar 🗸	Estilos - Fonte - Tamanho - A Ma-IB IUS-Ix*x。 IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	
Tags		
utifications	Respect user email preferences Status Published	
itegories (required)	0 Selected	Cancel Save

It is not necessary to fill the field "tag".



In "Notifications", choose the option "send immediate email to all users", so all involved are notified:

Notifications	Respect user email preferences 🔹
Categories	Respect user email preferences
(required)	Send immediate email to all users Suppress all email notifications
	Suppress all notifications and activity

In "**Categories**" choose the most adequate to the given case, noting that the Secretariat may register new categories following an orientation by the Arbitral Tribunal:

Tags	 Communications - Arbitral Tribunal Communications - Secretariat 	-
Notifications	General Hearing Instruction	
Categories	0 Selected	-

In "Status" select the option "Published" so the message is made available immediately:

Status	Published 🗸
	Draft
	Published

To respond to an already commenced conversation, click on the desired theme in "Categories":



0 <	Hide
Categories	
All	
Communications - Arbitral Tribunal	0
Communications - Secretariat	0
General	0
Hearing Instruction	0

Click on "read more":

Hearing Instruction	Add post
Written statement	
By Lilian Bertolani on 01 Sep 2022 16:42 in Hearing Instruction	
What is the deadline for filing the documents?	
Read more	
Add a comment in the text box:	
Category (1)	
E Hearing Instruction	
Like Comment	
Add a comment	
Click on " Publish ":	
Category (1)	
Hearing Instruction	

Like | Comment

The deadline is on September 12, 2022|
Use @ to mention people or group and # to add tags

S S A Cancel Post



All the involved Parties in the proceeding shall be notified, by e-mail, as the example below:







8. Consultation to the proceeding's calendar

The tab "**Calendar**" may be utilized, at the Arbitral Tribunal's discretion, for the insertion of terms defined on the schedule of the proceeding. In case the Tribunal opts for its utilization, the Secretariat will be responsible for the insertion of the events, that might be consulted by all involved.

				< (Cultar	Lista dia	Semana Mê	s			Pesquise pe	elo título ou loca
•	Feb	ruary 2	2022		Ð	February 2022 🔊	5					G hoje
Non Tue	Wed	Thu	Fri	Sat	Sun	-						
31 01	02	03	04	05	06	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
07 08	09	10	11	12	13	31	01	02	03	04	05	
14 15	16	17	18	19	20							
21 22	23	24	25	26	27							
28 01	02	03	04	05	06	07	08	09	10	11	12	
07 08	09	10	11	12	13							
ategoria	as											

Note that, to support all involved, the Secretariat shall <u>only</u> include the fixed terms on predefined days, or <u>exceptionally</u>, the terms in calendar or business days, which regressive count publication shall be confirmed and authorized by the Arbitral Tribunal.

It shall rest on the Parties' full and exclusive responsibility *(i)* the count of eventual terms in calendar or business days; e *(ii)* the compliance to the terms defined by the Arbitral Tribunal, even if, by any failure, not indicated in the "calendar", as long as previously communicated to the Parties by other mean, for example, orally in a hearing or stipulated in a procedural order published on the folder "1. Access the proceeding".